

Financial & Payroll Services for the Nonprofit Sector

# **Enrollment Forms for:**

## Alaska ILC VD-HCBS Program Employers

This packet contains the necessary forms and instructions that will authorize ARIS Solutions to act in your behalf as your FMS provider.

\*\*ALL FORMS MUST BE SIGNED/DATED AND RETURNED TO ARIS SOLUTIONS\*\*

- Employer Confirmation of Receipt
- Fraud & Abuse Statement
- HIPAA Notice of Privacy Practices & Agreement
- Customer Grievance Policy
- Employer / Participant Information Form
- Workers' Compensation Form
- Form SS-4 Application for Employer Identification Number
  - Allows ARIS to request a Federal Employer Identification Number from the IRS for you.
- Form 2678 Employer/Payer Appointment of Agent
  - Allows ARIS to file your employment tax forms.
- Form 8821- Tax Information Authorization
  - Allows ARIS to receive & review copies of tax filings from the IRS.
- State Tax Forms
  - Alaska Employer Registration Form to setup an account with the Department of Labor and Workforce Development
  - Alaska Dept. of Labor and Workforce Development "Power of Attorney" allows ARIS to speak to the Department of Labor on your behalf and file all quarterly tax forms.

If you have questions contact the Veteran Department at 866.970.3301

Return Packet to: ARIS Solutions-Veteran Program

PO Box 4409 White River Jct., VT 05001 Phone: 866.970.3301 (toll free) Fax: 802.295.9812 Email: veteranpayroll@arissolutions.org



Financial & Payroll Services for the Nonprofit Sector

## **New Employer/Participant Information**

### You are now an Employer!

Welcome to the Veteran Directed Home and Community Based Services Program employment model. You will now manage and direct the services you receive or the services the Veteran you represent receives. In this employer model you, or a representative who you appoint, are the employer and you direct the work of your employee. (Please read the New "Employer/ Participant" handbook for more details of the Employers role in the VD-HCBS Program)

### The Role of ARIS Solutions as Your FMS Provider

ARIS Solutions will serve as your FMS Provider to support you and complete many of the administrative employer obligations. This means that ARIS will process your timesheets, conduct criminal background checks on potential employees manage your employer tax responsibilities on the federal and state level, apply for workers compensation insurance, and pay your employees.

Your Role (as Employer)	Employee's Role (as Employee)	ARIS Solutions' Role (as FMS Provider)							
Select and hire an employee	Meet your requirements for	Assist with paperwork, as							
	hiring	needed							
Schedule employees (staying	9								
within your authorized budget)	Complete required employment	Establish you as an employer							
	Complete required employment	Establish you as an employer							
Taska analasi a	paperwork	Establish and a start of the second							
Train employees		Establish your worker as your							
	Pass a background check	employee							
Sign timesheets									
	Submit signed timesheets to	Conduct criminal background							
Review employees job	ARIS	checks							
performance									
		Provide payroll services							
Dismiss employees	Respect employer's boundaries,	Prepare and disburse payroll							
	rules and responsibilities	checks							
Establish clear boundaries									
	Provide home care services to	Pay employer taxes							
Let your employee know what	your employer as directed by								
the rules are and what their	your employer	Prepare year-end tax reports							
responsibilities are	J J J -								
		Apply for and secure Workers							
Prevent fraud	Prevent fraud								
		Compensation insurance on							
		behalf of the employer							

### **Roles and Responsibilities Chart**

### The hiring process

ARIS Solutions will assist you, as needed, with all of the paperwork necessary to establish you as an employer and establish your worker as your employee.

### **Payroll services**

ARIS Solutions will prepare and disburse payroll checks and year-end tax statements. In addition, ARIS will pay all employer taxes, withhold employee taxes, and submit tax withholding statements to the appropriate government agencies. If your employee ever needs employment verification ARIS will handle that as well, just forward the request via fax/email/ mail.

### **Contact Information**

You can remove this page from the packet and post it somewhere prominent so you always have the information you need to contact the resources you need.

ARIS Solutions-Veteran Program staff is available for support Monday through Friday from 8:00 am to 4:00pm (EST) and can be reached at 866.970.3301 (toll free).

ARIS Solutions is not open on state or federal holidays.

Торіс	Resource	Contact Info
Veteran Program Director	Theresa Danforth	theresad@arissolutions.org
Veteran Program Specialist *Employer questions/concerns	Emilie Donka	emilied@arissolutions.org
Veteran Program Payroll Specialist (s)	Megan Whiton Janet Allen Michele Swett	meganw@arissolutions.org janeta@arissolutions.org micheles@arissolutions.org

### Veteran Program Team



# **ARIS Solutions**

Financial & Payroll Services for the Nonprofit Sector

AK-ILC

# ARIS Solutions



# **PROGRAM INTEGRITY and FRAUD PREVENTION**

Maintaining and improving program integrity is one of the most important aspects of the Veteran Directed Program. Program integrity including fraud prevention is critical to sustaining this program model. Participants, authorized representatives, and providers are vital to preventing fraud and maintaining program integrity.

Fraud and abuse with funds from the Veteran's Administration can cost billions of dollars each year, diverting funds that could otherwise be used for additional services or to assist more people that need care. As a participant, authorized representative, care provider or recipient of funds, you must comply with all State and Federal laws and prevent misuse or fraud of any funds within this programs. Honesty and integrity are expected of all who participate in the Veteran Directed Program. (Please refer to the New "Employer/Participant" Handbook for more detail on fraud within the Veteran Directed Program)

### Definition

Fraud is to intentionally misrepresent, cheat or deceive in order to benefit or gain something of value. Medicaid fraud is knowingly falsifying or misrepresenting the truth to obtain unauthorized benefits. Abuse includes any practice inconsistent with acceptable practices that will unnecessarily increase costs.

# Examples of Fraud and Abuse Include

- Submitting timesheets for services not actually provided
- Approving/authorizing hours that employees didn't actually work
- Recording more time or stating different times than you actually work
- Changing hours on a timesheet after it has been approved
- Not providing the services the participant needs
- Falsifying a worker's compensation claim
- Falsifying or misrepresentation on applications or documentation

• Requiring an employee to "share" their paycheck with the employer

- Billing for services while in the hospital or other care facility
- Submitting twice for the same service

### Results

Fraud is a felony conviction tha can lead to substantial penalties, including imprisonment up to then years, or a fine of up to \$1,000 or an amount equal to twice the amount of assistance or benefits wrongfully obtained, or both. If convicted of fraud you may be excluded for a minimum of five year from any employment with a program or facility that receives Medicaid funding.

### REPORTING

If you suspect or know of fraud or abuse occurring, it is your duty and responsibility to report this immediately to the Association of Area Agency and the Veteran's Administration. Or call ARIS Solutions at 802.280.1911 and the proper people will be contacted.



# **Solutions** Employer **Confirmation of Receipt**

I, \_\_\_\_\_, have read the "Program Integrity and Fraud Prevention" documents provided by ARIS Solutions.

I understand and accept my role or my designated representative's role as an employer in the Veteran Directed Program employment model.

I acknowledge that I am the employer of any employee I may choose to hire to provide home health care service in the Veteran Directed Program employment model.

I understand I am responsible for hiring, firing, training, and supervising my employees, as well as, maintaining program integrity by preventing and reporting fraud.

I understand and acknowledge that as a FMS Provider, ARIS Solutions, <u>will not</u> act as the employer of any employee I may choose to hire through this program.

Signed,

AK-ILC

Signature

Date



# FRAUD & ABUSE STATEMENT

**Fraud** is defined as **recklessly or purposefully** making false statements or representations to obtain some benefit or payment that you would not be entitled to without those statements or facts. These acts may be committed either for the person's own benefit or for the benefit of someone else. In other words, fraud includes the obtaining of something of value through misrepresentation or concealment of facts. Fraud is committed when a person or business deceives or distorts facts or information to get something they would not be otherwise entitled to. Fraud can range from a solo act to a broad-based operation by an institution or a group. Anyone can commit fraud.

### Examples of Medicaid/Veteran Administration Fraud include, but are not limited to:

- Knowingly and/or purposefully filling out an employee timesheet incorrectly for hours or services that were not provided during the times listed or on the day listed;
- Knowingly and/or purposefully allowing the Vendor F/EA FMS-Support Broker entity to bill Medicaid/Veteran Administration for services that were not provided;
- Knowingly and/or purposefully using the Veteran's budget for any other purpose than what has been approved in the Veteran's service plan.
- Knowingly and/or purposefully allowing an employee to document services or hours that were not provided.
- Knowingly and/or purposefully submitting invoices to the Vendor F/EA FMS-Support Broker entity for goods and services that were not provided.
- Knowingly and/or purposefully having the Vendor F/EA FMS-Support Broker entity pay an employee or vendor for goods and/or services actually provided by someone else. (This is also tax fraud.)
- Knowingly and/or purposefully making a "side deal" with an employee to split their pay check with the Veteran or his/her representative. (This is also tax fraud).
- Knowingly or purposefully withholding information from authorities during an investigation
- Knowingly and/or purposely having the Vendor F/EA FMS-Support Broker entity pay for an approved good included in the Veteran's budget, and then return the approved good to get the cash or use it for something else that has not been approved.

Abuse is defined as practices that are inconsistent with sound fiscal, business, or medical practices, and result in an unnecessary cost to Medicaid/Veteran Administration and other programs, or in reimbursement for services that are not medically necessary or fail to meet professionally recognized standards for health care. It also includes recipient practices that result in unnecessary costs to the Medicaid/Veteran Administration program.

### Examples of Medicaid/Veteran Administration Abuse include:

- Making errors when filling out the employee's timesheet and not immediately reporting the error to the Vendor F/EA FMS-Support Broker entity to remedy the situation.
- Being late in handing in Veteran/representative-employer related paperwork to the Vendor F/EA FMS-Support Broker entity.

### The difference between Fraud and Abuse

Fraud is anything intentionally, purposefully or recklessly done to get something for your own benefit that you normally would not be entitled to. Abuse is anything that wasn't done intentionally or purposefully but was still completed incorrectly for your own benefit and not immediately reported.

Medicaid/Veteran Administration Fraud and Abuse is a crime against all taxpayers and is both a state and federal offense. All reports or allegations of fraud and abuse within the Veteran Directed Home and Community Based Services Program will be referred to the Veteran's Administration for possible criminal investigation. Veteran's suspected of Medicaid/Veteran Administration Fraud or Abuse also face termination from the Veteran Directed Home and Community Based Services Program.

Veteran's Signature	Date	
Authorized Representative Signature	Date	ARIS
	Date	



## HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT

This notice describes how medical information about you may be used and disclosed and how we may obtain access to this information. <u>*Please review it carefully & keep for your records.*</u>

### **DEFINITION OF MEDICAL INFORMATION**

When <u>ARIS Solutions/ VDHCBS Program</u> refers to medical information, we mean protected health information (PHI). PHI is information that is individually identifiable health information including demographic information collected.

### **USES AND DISCLOSURES OF PHI**

Health Care Operations- Your medical information may be used and disclosed in connection with our health care operational including:

- Case management and care coordination.
- Quality assessment and improvement activities and protocol assessment.
- Reviewing the competence or qualifications of health care professionals, evaluating provider performance, conducting training programs, accreditation, certification activities, and credentialing activities.
- Conducting legal services, compliance programs, fraud and abuse detection
- Business planning and development.

### Additional disclosures-PHI may be disclosed;

- To another entity that has relationship with the organization for their health care operations relating to quality improvement and assessment activities, reviewing competence or qualifications of health care professionals.
- To other entities that assist us in conducting our health care operations.

We will not disclose your medical information to those persons or entities unless they agree to keep it protected.



# **ARIS Solutions**

### AK-ILC

# HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT continued...

### For the Public Benefit- as authorized by law for the following purposes:

- As required by law
- For public health activities, including disease and vital statistic reporting, FDA oversight, and for work related illness or injury
- *To health oversight agencies*
- In response to court and administrative orders
- To avert a serious threat to health and human safety

Your written authorization is required for all other uses and disclosures of your PHI. You may revoke your authorization at any time. However, your revocation will not affect any use or disclosure you permitted to your revocation.

### YOUR RIGHTS

*Access to your information* — You have the right to inspect or obtain a copy of the medical information about you that is contained in a "designated record set". The organization may ask you to submit your request in writing.

*Accounting of disclosures* – You have the right to receive a list of instances in which we or our associates disclosed your PHI for purposes other than health care operations or those authorized by you.

*Confidential Communication* – You have the right to request that we communicate with you about your PHI by a different means or at a different location. You make this request in writing.

*Amending your PHI* – You have the right to request that we amend your PHI contained in the "designated record set" if it is not correct or complete. We may require that this request be in writing.

*Complaints* – You have the right to file a complaint if you believe your privacy rights have been violated. You may file this complaint with A<u>RIS Solutions/ VDHCBS Program</u> and/or the Secretary of the Department of Health and Human Services. All complaints to ARIS Solutions/ VDHCBS Program must be made in writing. We support your right to protect your PHI.

### \*\*PLEASE KEEP THIS FOR YOUR RECORDS\*\*



# HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT \*PLEASE SIGN/DATE & RETURN TO ARIS SOLUTIONS\*

At <u>ARIS Solutions/ VDHCBS Program</u>, we respect the confidentiality of your medical information and will protect information in a responsible manner. We have a privacy program in place that meets the requirements of HIPAA, the government legislation that sets standards for the privacy of medical information.

This notice will be effective for all medical information that we maintain, including medical information we created or received before \_\_\_\_\_\_ (date) \_\_\_\_\_\_(initials)

## HIPAA PRIVACY NOTICE ACKNOWLEDGEMENT AND CONSENT

I acknowledge that I have been provided with a notice of privacy practices and have been advised of how health information about me may be used and disclosed by ARIS Solutions/ VDHCBS Program and how may I obtain access to and control of this information.

Signature

Date



**ARIS Solutions** 

### CUSTOMER GRIEVANCE POLICY

At ARIS Solutions, we truly believe in providing best in class services to our customers. We aim to understand both our strengths and opportunities for improvement from our customer's point of view and work to continuously improve our services to best meet their needs.

Our Grievance Policy focuses on improving customer satisfaction by collecting feedback from all our customers and by putting action plans in place to address key issues, which are assigned to the relevant key staff for action.

We have a complaint tracking system which assigns each complaint with a number and allows us to track the aging and resolution of each complaint. The status of complaints is systematically reported to our Senior Management. Our goal is to ensure that all customer complaints are resolved within 30 days. The 30-day period will commence after all the necessary information sought from the customer is received.

The various channels through which our customers can contact us for any assistance with their grievances are listed below:

In the event your complaint is not addressed satisfactorily:

If you are not satisfied with the response received at our helpline, you can escalate your grievance to: Name: Theresa Danforth Email: theresad@arissolutions.org Fax: 802.295.9812 Telephone: 866.970.3301 (Monday to Friday 8:00 am to 4:00 pm EST) Address: PO Box 4409, White River Jct., VT 05001

For further escalation of grievances, the same can be addressed to: Name: Jason Richardson Email: jasonr@arissolutions.org Fax: 802.295.9812 Telephone: 802.280.1911 (Monday to Friday 8:00 am to 4:00 pm EST) Address: PO Box 4409, White River Jct., VT 05001





## NAME OF EMPLOYER

(Apt)	(First) (City)	(Mi (State)	ddle) (Zip
	(City)	(State)	(Zin
			(P
Email			
Social Security Nu	mber	<u> </u>	
	_		
YES	NO		
OF PARTICIPAN	т		
(APT)	(City)	(State)	(Zip)
	Social Security Nur YES OF PARTICIPAN (APT)	Social Security Number YES NO OF PARTICIPANT (APT) (City)	Social Security Number



*Information on Worker's Compensation Insurance/frequently asked questions:* 

- All employers are required to obtain Worker's Compensation insurance before employees may begin to work.
  - Employers will be notified as soon as policy is in place.
- Worker's Compensation Insurance is an insurance policy which pays for the cost of an employee's medical expense and lost wages in the event of a work related injury.
- ARIS Solutions assists employers in obtaining a Worker's Compensation Policy.
- The cost for Worker's Compensation insurance can vary somewhat, most policies are atleast \$1000 per year.
  - The exact cost is determined by the insurance company and depends upon the number of full or part time employees and the total annual wages to be paid in the year.
  - The cost of the policy is paid from the participant's budget and is broken down into equal monthly amounts.
    - ARIS Solutions pays the policy upfront and is repaid through the VA as billing is done each month.

AK-ILC ARIS Solutions
<b>VD-HCBS AK ILC Workers' Compensation Form</b>
Employer Legal Name:
Employer Date of Birth:
Veteran name (if different than Employer name):
Relationship to Veteran: Spouse Child Sibling Other (specify):
Employer FEIN # :
Employer Phone:
Street Address (where service is provided):
City, State, ZIP(where service is provided):
Estimated Number of Employees:
Full Time:    Part Time:
Estimated Annual Payroll:
Effective Date of Coverage (start date):
Employer Signature and Date:

### INDIVIDUALS INCLUDED/EXCLUDED

PA	ARTNERS, OFFICERS, RELATIVES TO BE INCLUDED OR EXCLUDED. (Remuneration to be included must be part of rating information section.)										
#	NAME	DATE OF BIRTH	TITLE/ RELATIONSHIP	OWNER- SHIP %	DUTIES	INC/EXC	CLASS CODE	REMUNERATION			
-											

### PRIOR CARRIER INFORMATION/LOSS HISTORY

ROVIDE INF	ORMATION FOR THE PAST 5 YEARS AND USE THE REMARKS SECTIO	LOSS RUN ATTACI	HED			
YEAR	CARRIER & POLICY NUMBER	RRIER & POLICY NUMBER ANNUAL PREMIUM		# CLAIMS	AMOUNT PAID	RESERVE
c	CO:					
F	POL #:					
c	CO:	-				
F	POL #:					
c	CO:					
F	POL #:					
c	CO:					
F	POL #:					
c	CO:					
F	POL #:					

NATURE OF BUSINESS/DESCRIPTION OF OPERATIONS GIVE COMMENTS AND DESCRIPTIONS OF BUSINESS, OPERATIONS AND PRODUCTS: MANUFACTURING-- RAW MATERIALS, PROCESSES, PRODUCT, EQUIPMENT, CONTRACTOR-- TYPE OF WORK, SUB-CONTRACTS. MERCANTILE--MERCHANDISE, CUSTOMERS, DELIVERIES. SERVICE-- TYPE, LOCATION. FARM--ACREAGE, ANIMALS, MACHINERY, SUB-CONTRACTS.

GENERAL INFORMATION						
EXPLAIN ALL "YES" RESPONSES	Y	ES	NO	EXPLAIN ALL "YES" RESPONSES	YES	NO
1. DOES APPLICANT OWN, OPERATE OR LEASE AIRCRAFT/WATERCI	RAFT?			16. ARE PHYSICALS REQUIRED AFTER OFFERS OF EMPLOYMENT ARE MADE?		
2. DO/HAVE PAST, PRESENT OR DISCONTINUED OPERATIONS INVOL STORING, TREATING, DISCHARGING, APPLYING, DISPOSING, OR T				17. ANY OTHER INSURANCE WITH THIS INSURER?		<u> </u>
OF HAZARDOUS MATERIAL? (e.g. landfills, wastes, fuel tanks, etc)				18. ANY PRIOR COVERAGE DECLINED/ CANCELLED/NON-RENEWED (Last 3 years)? NOT APPLICABLE IN MO		
3. ANY WORK PERFORMED UNDERGROUND OR ABOVE 15 FEET?				19. ARE EMPLOYEE HEALTH PLANS PROVIDED?		
4. ANY WORK PERFORMED ON BARGES, VESSELS, DOCKS, BRIDGE	OVER WATER?			20. IS THERE A LABOR INTERCHANGE WITH ANY OTHER BUSINESS/SUBSIDIARY?		
5. IS APPLICANT ENGAGED IN ANY OTHER TYPE OF BUSINESS?				21. DO YOU LEASE EMPLOYEES TO OR FROM OTHER EMPLOYERS?		
6. ARE SUB-CONTRACTORS USED? (IF YES, GIVE % OF WORK SUBC	ONTRACTED)			22. DO ANY EMPLOYEES PREDOMINANTLY WORK AT HOME?		
7. ANY WORK SUBLET WITHOUT CERTIFICATES OF INS.?				23. ANY TAX LIENS OR BANKRUPTCY WITHIN THE LAST 5 YEARS?		
8. IS A WRITTEN SAFETY PROGRAM IN OPERATION?				24. ANY UNDISPUTED AND UNPAID WORKERS COMPENSATION PREMIUM DUE FROM YOU OR ANY COMMONLY MANAGED OR OWNED ENTERPRISES? IF YES, EXPLAIN		
9. ANY GROUP TRANSPORTATION PROVIDED?				INCLUDING ENTITIY NAME(S) AND POLICY NUMBERS(S). CONTACT INFORMATION		
10. ANY EMPLOYEES UNDER 16 OR OVER 60 YEARS OF AGE?				IN- PHONE:		
11. ANY SEASONAL EMPLOYEES?				SPECTION NAME:		
12. IS THERE ANY VOLUNTEER OR DONATED LABOR?				ACCTNG PHONE:		
13. ANY EMPLOYEES WITH PHYSICAL HANDICAPS?				RECORD NAME:		
14. DO EMPLOYEES TRAVEL OUT OF STATE?				CLAIMS PHONE:		
15. ARE ATHLETIC TEAMS SPONSORED?				INFO NAME:		
				DAPLETE OR MISLEADING INFORMATION TO ANY PARTY TO A WORKER FIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BEI		
ANY PERSON WHO KNOWINGLY AND WITH INTENT TO D OR STATEMENT OF CLAIM CONTAINING ANY MATERIA CERNING ANY FACT MATERIAL THERETO, COMMITS A	DEFRAUD ANY IN LLY FALSE INFO FRAUDULENT IN	SUR RM/ SUR	RAN ATIO RAN	CE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSI DN, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATIC CE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMIN or VT; in DC, LA, ME and VA, insurance benefits may also be denied)	URAI DN C	NCE ON-
APPLICANT'S SIGNATURE	DATE	P	PROE	DUCER'S SIGNATURE NATIONAL PRODUCER	RNUM	IBER
			12	AK-II C		

- SS-4
Form UU-T
(Rev. January 2010)
Department of the Treasury Internal Revenue Service

## **Application for Employer Identification Number**

(For use by employers, corporations, partnerships, trusts, estates, churches, government agencies, Indian tribal entities, certain individuals, and others.)

OMB No. 1545-0003

EIN

interr		ue Service Several are instructions for each line.			a copy for your rec		
	1 L	egal name of entity (or individual) for whom the EIN is being	g req	uested HHC	SR		
print clearly.	<b>2</b> T	rade name of business (if different from name on line 1)	3		ecutor, administrator	, trustee,	"care of" name
cle		Aailing address (room, apt., suite no. and street, or P.O. bo	x) 5	i <b>a</b> Stro	eet address (if differe	ent) (Do r	not enter a P.O. box.)
rint		ARIS SOLUTIONS, PO BOX 4409					
r pi		City, state, and ZIP code (if foreign, see instructions)	5	<b>b</b> City	y, state, and ZIP cod	e (it fore	ign, see instructions)
Ō	WHITE RIVER JUNCTION, VT 05001           6         County and state where principal business is located						
Type or	County and state where principal business is located						
	7a 👖	lame of responsible party			7b SSN, ITIN, or	EIN	
8a		s application for a limited liability company (LLC)			8b If 8a is "Yes,"		
		foreign equivalent)?	V	No I	LLC members	s	
8c	lf 8a i	s "Yes," was the LLC organized in the United States? .		• •		• •	🗌 Yes 🗌 No
9a	_	of entity (check only one box). Caution. If 8a is "Yes," see	the i	instruct			
	_	Sole proprietor (SSN)			Estate (SSN of		t)
		Partnership			Plan administra		
	_	Corporation (enter form number to be filed)			Trust (TIN of gra	antor)	
	_	Personal service corporation					State/local government
		Church or church-controlled organization Other nonprofit organization (specify)			Farmers' cooper	ative	Federal government/military
		Other (specify) ► HHCSR			Group Exemption N	umbor ((	Indian tribal governments/enterprises
9b			ate				n country
		cable) where incorporated					locanity
10	Reas	on for applying (check only one box)	Ban	king pu	rpose (specify purpo	se) 🕨	
	_	Started new business (specify type) ►			pe of organization (s		ew type) 🕨
		PERSONAL CARE/HOME CARE	Purc	chased	going business		
	۲	lired employees (Check the box and see line 13.)	Crea	ated a t	rust (specify type) 🕨		
		Compliance with IRS withholding regulations	Crea	ated a p	ension plan (specify	type) 🕨	
		Other (specify) ►					
11	Date	business started or acquired (month, day, year). See instru	ction	s.			counting year JUNE nployment tax liability to be \$1,000 or
10	L P - Is a			<b>6</b>			year <b>and</b> want to file Form 944
13		est number of employees expected in the next 12 months (enter employees expected, skip line 14.	· -0- I	r none).	annually inst	tead of F	orms 941 quarterly, check here.
	ii no v						x liability generally will be \$1,000
		Agricultural Household Othe	ər				to pay \$4,000 or less in total wages.) his box, you must file Form 941 for
					every quarte		
15		date wages or annuities were paid (month, day, year). <b>N</b> sident alien (month, day, year)				g agent,	enter date income will first be paid to
16		k one box that best describes the principal activity of your bus			Health care & social	assistanc	ce 🗌 Wholesale-agent/broker
		Construction Rental & leasing Transportation & wareh			Accommodation & for		
	F	Real estate 🗌 Manufacturing 🗌 Finance & insurance		-			Community based personal care
17	Indica	ate principal line of merchandise sold, specific construction	worl				
	HON	ME AND COMMUNITY BASED PERSONAL CAR	E T(	D VET	ERAN PARTICIP	ANT.	
<mark>18</mark>		he applicant entity shown on line 1 ever applied for and rec s," write previous EIN here ►	eiveo	d an Ell	1? 🗌 Yes 🛛	No No	
	11 10	Complete this section <b>only</b> if you want to authorize the named in	dividu	ual to rec	eive the entity's EIN and	l answer o	uestions about the completion of this form.
Thi	rd	Designee's name					Designee's telephone number (include area code)
Par		ARIS SOLUTIONS FISCAL AGENT					802-280-1911
Des	signee						Designee's fax number (include area code)
		PO BOX 4409 WHITE RIVER JUNCTION VT					802-295-9812
		of perjury, I declare that I have examined this application, and to the best of my k	nowled	ge and be	liet, it is true, correct, and co	mplete.	(Applicant's telephone number (include area code)
Nam	e and tit	le (type or print clearly) ►					Applicant's fax number (include area code)
Sign	ature 🕨				Date ►		Applicant o las number (include area CODE)
		v Act and Paperwork Reduction Act Notice, see separat	e ins	tructio		No. 1605	5N Form <b>SS-4</b> (Rev. 1-2010)

### AK-II C 2678 Employer/Payer Appointment of Agent

(Rev. August 2014) Department of the Treasury - Internal Revenue Service

Use this form if you want to request approval to have an agent file returns and make deposits or payments of employment or other withholding taxes or if you want to revoke an existing appointment.

 If you are an employer or payer who wants to request approval, complete Parts 1 and 2 and sign Part 2. Then give it to the agent. Have the agent complete Part 3 and sign it.

**Note.** This appointment is not effective until we approve your request. See the instructions for filing Form 2678 on page 3.

• If you are an employer, payer, or agent who wants to revoke an existing appointment, complete all three parts. In this case, only one signature is required.

### Part 1: Why you are filing this form...

(Check one)

Form

Vou want to **appoint** an agent for tax reporting, depositing, and paying.

You want to **revoke** an existing appointment.

Part 2: Employer or

1	Employer	identification	number (	EIN)
---	----------	----------------	----------	------

- 2 Employer's or paye (not your trade nam
- 3 Trade name (if any
- Address

Pa	rt 2: Employer or Payer Information: Complet	e this part if you want to ap	point an agent or I	revoke an	appointment.
1	Employer identification number (EIN)		] – [] [		
2	Employer's or payer's name (not your trade name)				
3	Trade name (if any)				
4	Address				
		Number Street			Suite or room number
		City		State	ZIP code
		Foreign country name	Foreign province/count		Foreign postal code
5	Forms for which you want to appoint an agent appointment to file. (Check all that apply.)	or revoke the agent's	For Al employe		For SOME employees/

appointment to file. (Check all that apply.)	payees/payments	payees/payments
Form 940, 940-PR (Employer's Annual Federal Unemployment (FUTA) Tax Return)*		
Form 941, 941-PR, 941-SS (Employer's QUARTERLY Federal Tax Return)	$\checkmark$	
Form 943, 943-PR (Employer's Annual Federal Tax Return for Agricultural Employees)		
Form 944, 944(SP) (Employer's ANNUAL Federal Tax Return)		
Form 945 (Annual Return of Withheld Federal Income Tax)		
Form CT-1 (Employer's Annual Railroad Retirement Tax Return)		
Form CT-2 (Employee Representative's Quarterly Railroad Tax Return)		

\*Generally you cannot appoint an agent to report, deposit, and pay tax reported on Form 940, Employer's Annual Federal Unemployment (FUTA) Tax Return, unless you are a home care service recipient.

Check here if you are a home care service recipient, and you want to appoint the agent to report, deposit, and pay FUTA tax for you. See the instructions.

I am authorizing the IRS to disclose otherwise confidential tax information to the agent relating to the authority granted under this appointment, including disclosures required to process Form 2678. The agent may contract with a third party, such as a reporting agent or certified public accountant, to prepare or file the returns covered by this appointment, or to make any required deposits and payments. Such contract may authorize the IRS to disclose confidential tax information of the employer/payer and agent to such third party. If a third party fails to file the returns or make the deposits and payments, the agent and employer/ payer remain liable.

•	Sign your			Print your name	e here	
X	Sign your name here			Print your title h	HHCSR	
	Date	/ /		Best daytime pl	hone	
				Now	give this form to the	agent to complete.
or Priv	acy Act and Paperwor	rk Reduction Act Notice, see	e the instructions.	IRS.gov/form2678	Cat. No. 18770D	Form 2678 (Rev. 8-2014

AK- ILC

OMB No. 1545-0748

For IRS use:

AK-ILC
Form <b>8821</b>
(Rev. March 2015)
Department of the Treasury Internal Revenue Service

Name and address

PO BOX 4409

**EMPLOYMENT** 

Taxpayer name and address

ARIS SOLUTIONS FISCAL AGENT

WHITE RIVER JUNCTION, VT 05001

### **Tax Information Authorization**

▶ Information about Form 8821 and its instructions is at www.irs.gov/form8821.

▶ Do not sign this form unless all applicable lines have been completed. ▶ Do not use Form 8821 to request copies of your tax returns or to authorize someone to represent you.

OMB No. 1545-1165 For IRS Use Only Received by: Name Telephone Function Date

1 Taxpayer information.	. Taxpayer mu	ist sign and o	date this form	on line 7
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ore than one appointee, attach		ber Plan number (if applicable)		
pre than one appointee, attach				
ore than one appointee, attach	a list to this form <b>Check here i</b>	f a list of additional		
	CAF No.			
	PTIN			
	Telephone No. 802-280-1911			
	Fax No. 802-295-9812			
	Check if new: Address 🗌 Telephone No. 🗌 Fax No. 🗌			
		or the type of tax, forms,		
(b)	(c)	(d)		
Tax Form Number (1040, 941, 720, etc.)	Year(s) or Period(s)	Specific Tax Matters		
941,940,941R, 941X, W2, W3	2018-2021	TAX LIABILITY		
S	t below. See the line 3 instru (b) Tax Form Number (1040, 941, 720, etc.)	PTIN       Telephone No.         Fax No.       80         Check if new: Address       Telephone No.         Fax No.       80         Check if new: Address       Telephone No.         orized to inspect and/or receive confidential tax information for the st below. See the line 3 instructions.       Telephone No.         (b)       (c)         Tax Form Number       Year(s) or Period(s)         (1040, 941, 720, etc.)       Year(s) or Period(s)		

4 Specific use not recorded on Centralized Authorization File (CAF). If the tax information authorization is for a specific use not recorded on CAF, check this box. See the instructions. If you check this box, skip lines 5 and 6 . . . . 

W2C

5 Disclosure of tax information (you must check a box on line 5a or 5b unless the box on line 4 is checked):	-
a If you want copies of tax information, notices, and other written communications sent to the appointee on an ongoing basis, check this box	
Note. Appointees will no longer receive forms, publications, and other related materials with the notices.	
b If you do not want any copies of notices or communications sent to your appointee, check this box	

6	Retention/revocation of prior tax information authorizations. If the line 4 box is checked, skip this line. If the line 4 box
	is not checked, the IRS will automatically revoke all prior Tax Information Authorizations on file unless you check the line 6
	box and attach a copy of the Tax Information Authorization(s) that you want to retain

To revoke a prior tax information authorization(s) without submitting a new authorization, see the line 6 instructions.

7 Signature of taxpayer. If signed by a corporate officer, partner, guardian, executor, receiver, administrator, trustee, or party other than the taxpayer, I certify that I have the authority to execute this form with respect to the tax matters and tax periods shown on line 3 above.

### ▶ IF NOT COMPLETE, SIGNED, AND DATED, THIS TAX INFORMATION AUTHORIZATION WILL BE RETURNED.

### ▶ DO NOT SIGN THIS FORM IF IT IS BLANK OR INCOMPLETE.

Signature	Date
	HHCSR
Print Name	Title (if applicable)

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Cat. No. 11596P

Form 8821 (Rev. 3-2015)

# Alaska Employer Registration Form

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and Workforce Developn Employment Security Ta			Update	Field auditor	Cont.	Rt-Hld	& Rate	Code		Rate year	Rate link	Rate	Recei	/e date	
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COMPLETE BOTH S	SIDES (			THE A								Y			
1) Type of business:			Partnership: G												-
□Nonprofit organization □Fe															
Corporation: Date incorporated					corporated						corporatio				-
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2) Federal Identification Number			e you ever been yment Security		account n	umber wi	tn		-						
			Yes 🗹		at number					J Yes	Dr No	If yes	, see Page	4	
5) What is the date your business	first paid y	vages i	n Alaska, or th	e anticipated	date vou v	vill nav w	ages?	-		6) Num	ber of emp	lovees in A	laska:		
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13) Business Contact Name:		NL	) Business Cont mber:			15) Busin	ess Conta	ict En	nail:	16)	Business V	Vebsite:			
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20) Date changed or acquired:					21	l) Date w	ages first	paid	under ne	w owners	hip:				
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AK-ILC

ALASKA DEPARTMENT OF LABOR AND WORKFORCE DEVELOPMENT Division of Employment and Training Services – Unemployment Insurance (UI) Tax 1111 W. 8<sup>th</sup> St., P.O. Box 115509, Juneau, AK 99811-5509 (888) 448-3527 or (907) 465-2757, Fax: (907) 465-2374; Alaska Relay: (800) 770-8973 or Email: esd.tax@alaska.gov

### **POWER OF ATTORNEY**

### KNOW ALL MEN BY THESE PRESENTS:

That			UI account no.				
	(business name)						
Federal ID no.	does hereby constitute and appoint						
	ARIS Solutions- Veteran Department						
	PO Box 4409 (designated authority)						
	(design White River Junction	nated authority r VT	nailing address) 05001				
	City 802-280-1911	State	Zip code 802-295-9812				
	Phone		Fax				

its true and lawful attorney in fact with full power and authority to represent said company before the Alaska Department of Labor and Workforce Development, Division of Employment and Training Services effective immediately and until this authority has been revoked in writing in connection with any and all Unemployment Insurance matters as indicated below. For areas you would like this Power of Attorney to apply, check either "New" or "Add." "New" will supersede previous Powers of Attorney for lines checked. "Add" does not supersede previous Powers of Attorney for lines checked.

New	Add	
X		<ol> <li>Filing of completed forms, including claims for refund or adjustment of account, liability or status determinations and wage record reports</li> </ol>
Х		2. Receipt of Tax Rate Notices (TR02)
X		3. Payment of contributions and any penalties and interest assessed on the account
X		4. Discuss matters affecting the experience record and contribution rate of the employer account
X		5. Discuss all matters affecting any adjustments to the employer's account
Х		6. Enroll in the State Information Data Exchange System (SIDES) for electronic:
		X Notification of Separation information X Wage Earnings Audits
		Contact name: Emilie Donka Phone: 802-281-7813
		Email: emilied@arissolutions.org

T. All matters and forms affecting UI benefits, job separation information, hearing notices and decisions

8. Reporting agency: Discuss rates, submit Supplemental reports/payments, obtain IRS certifications

### IN WITNESS WHEREOF, the said

20

(owner, officer or member) has caused this instrument to be duly attested by the signature of its duly qualified officer this \_\_\_\_\_ day of

, 20_	·		
By (employer signature):	Printed name	Title and company: HHCSR	
STATE:0		,, 20	
Then, personally appear	ed the above named	who:	se
title isHHCSR	and acknowl	ledged the foregoing instrument to be his/her	free

act and deed in his/her said capacity.

Notary public	Type or print name	My commission expires	

### **Electronic Timesheets Agreement**

### I. <u>About The Electronic Timesheets Module</u>

- a. The Electronic Timesheets Module is a web-based interface through which Consumers, Employers, Employees, and Fiscal Intermediary staff can respectively view relevant timesheet information.
- b. Consumers, Employers and Employees will be able to use the system to both submit and approve timesheets electronically for payment by the Fiscal Intermediary.
- c. A Consumer is not required to have an Employer in order to use the system. But in cases where a Consumer does have an Employer and the Consumer approves the Employer to have access to the Electronic Timesheets Submission Interface, both the Consumer and his/her Employer will have identical abilities to enter and approve timesheets for payment. If the Consumer does not feel comfortable with the electronic interface, the Employer has the ability to handle all of the Consumer's timesheet submission and approval responsibilities.

### II. <u>Terms and Conditions</u>

*By signing below, you are agreeing to the following Terms and Conditions:* 

- a. The Consumer and/or his/her Employer and the Employee must have valid e-mail addresses that they access frequently.
- b. The Consumer, his/her Employer (if applicable) and the Employee agree to use the Electronic Timesheets Submission Interface as a method of submitting timesheets.
  - i. Signing this Agreement does not require you to only use the Electronic Timesheets Submission Interface. Other methods of submitting time, such as faxing or mailing, are still acceptable.
- c. A timesheet may not be submitted electronically if the Consumer and the Employee have not both signed and agreed to use the Electronic Timesheets Submission Interface via this Agreement.
  - i. If the Consumer approves their Employer to use the system, then the Employer must also sign this Agreement.
- d. An individual Electronic Timesheets Agreement is required for each Consumer/Employee relationship that chooses to use the Electronic Timesheets Submission Interface.
  - i. This is true even if the Consumer or Employee is already using the Electronic Timesheets Submission Interface in another Consumer/Employee relationship.

Program (Circle one): <u>North Carolina</u>	VDP VOICE	SWIRCA	Generations
Consumer Name:	Consumer E	-mail:	
Employer Name:	Employer E	mail:	
Consumer Signature:		Date:	
Employer Signature:		Date:	

\*\* Note all fields in RED are required. Forms not completed in full will be returned.

# Please print very clearly and legibly, or processing could be delayed.

### **About the Electronic Timesheets Module**

The Electronic Timesheets Module is a web-based interface through which Consumers, Employers, Representatives and Employees can respectively enter and view relevant timesheet information.

### **Electronic Timesheets Agreement**

In order to use the Electronic Timesheets Submission interface, a Consumer, their Representative or Employer (if applicable) and their Employee must sign an Electronic Timesheets Agreement which states that they both have valid e-mail addresses, and agree to use the electronic timesheets submission interface as a method of submitting time.

### **Getting Started**

- 1. An admin will create a user for the Consumer, Employer, Employee and Representative (if applicable).
- 2. The Consumer, Employer, Employee and Representative (if applicable) will each receive an e-mail alerting them that their account has been set up, and instructions for activating this account. Each user will click a one-time login link that expires after access to set up a password.

-	ARIS e-Timesheets <etimesheets@annkissam.com> to me</etimesheets@annkissam.com>	Apr 28 (4 days ago) 📩	*	*
	Hello,			
	Your account at the ARIS Electronic Timesheets Submission System is ready f and paste the following address into your browser to access the system and se		or copy	
	https://aris-etimesheets-staging.annkissamprojects.com/users/confirmation?co yotAfq7RGes83XteTroa	nfirmation_token=		
	The system will guide you through the process of submitting timesheets electro	onically.		
	Please call ARIS at XXX-XXX-XXXX if you have any questions about your acc	ount or about using the system.		
	Best regards, ARIS			

3. Each user will be prompted to accept the Terms of Service, and set up a password for their account.

Electronic timesheets user		
Terms of Service	USE OF USER ID AND PASSWORD:	
	1. If you register and/or set up an account on the Electronic Timesheets System Interface, you will be solely responsible for maintaining the confidentiality of your Registration Information. You may not authorize others to use your Registration Information. You may not sub-license, transfer, sell or assign your Registration Information and/or this Agreement to any third party. Any attempt to do so will be null and void and shall be considered a material breach of this Agreement.	
	2. You are solely responsible for all usage or activity on your account including, but not limited to, use of the account by any person who uses your Registration Information, with or without authorization, or who has access to any computer on which your account resides or is accessible.	
	3. If you have reason to believe that your account is no longer secure (for example, in the event of a loss, theft or unauthorized disclosure or use of your Personal Identifiable Information stored on the Electronic Timesheets System Interface), you must promptly change the affected Registration Information by using the appropriate update mechanism on the Electronic Timesheets System Interface, if available, or notify ARIS.	
Please set your password for you	r account here.	
New Password		
Confirm Password		
$\longrightarrow$	I have read and accept the above terms of service.	
	Submit	

4. Once each user accepts the Terms of Service and creates a password, he or she may start using the system.





January 2014

# WHAT EMPLOYERS NEED TO KNOW

Author(s): Lucia Cucu, J.D.

Acknowledgements: Lucia Cucu would like to acknowledge Merle Edwards-Orr and Mollie Murphy for their valuable contribution to this document. The detailed review and insightful comments they provided strengthened this resource.

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### How to Protect Yourself and Your Worker: A Guide for Employers

Being an employer brings not only rights but also responsibilities. This guide describes a few important issues that every employer should know about.

### Maintaining a Safe Workplace

It is important to keep your home safe for your employee. Slips and falls are a common cause of injuries, so you should clean up or warn your employee of spills and wet surfaces, and keep stairs and flooring in good repair. If you have pets in your home, make sure they cannot bite or scratch your employee.

### **Making Hiring and Firing Decisions**

### **Terminating Employees**

Do not hesitate to terminate an employee who does not meet your needs. Most employment relationships are considered employment "at will," which means you can terminate an employee for any reason or no reason at all, so long as your reason is not discriminatory, retaliatory (see discussion below) or otherwise unlawful.

### Avoiding Promises about the Length of Employment

To avoid a claim for breach of contract, do not make any promises to your employee that you will keep him employed for a certain period of time or that you would only fire him for a specific reason. Remember that a contract does not always have to be in writing to be legally binding. Spoken statements and promises can sometimes create legal obligations.

### Avoiding Illegal Discrimination and Retaliation

In many states it is illegal to discriminate against employees based on certain factors, which can include race, color, religion, sex, national origin, marital status, sexual orientation. This means that you must not hire, fire, or harass employees based on such factors. While your employee is with you, be careful not to express any personal opinions that could be interpreted as discriminatory. Even if you are in your own home, the home is considered a workplace while your employee is there, and workplace discrimination and harassment are prohibited by law.

Do not allow friends or family to behave in ways that could be considered discriminatory or harassing towards your employee. As the employer, you could be held responsible for their behavior if you allow it to continue.

Sexual harassment is also illegal. It includes unwelcome sexual advances that can be physical or verbal, such as offensive comments or gestures that create a hostile environment. Remember that the harasser can be someone other than the employer, such as a guest visiting your home or someone who lives with you.

1

### What Employers Need to Know

It is also illegal to fire employees in retaliation for reporting a crime or irregularity. For example, if an employee believes that an employer is misusing Medicaid funds and reports it to the authorities, it would be illegal to fire the employee in retaliation.

### **Providing References for Former Employees**

Be careful when talking about your reasons for terminating employees, because you could risk a claim of discrimination or defamation (saying things about the employee who harms them). If you are asked for a reference about a former employee and cannot provide a positive one, it is safest not to provide a reference at all.

# What Family Members and Authorized Representatives Need to Know

### Your Duty as Representative

In participant-directed programs, usually the participant (the person receiving services) is the employer. It is not unusual, however, for the participant to be unable or unwilling to serve as the employer. In those cases, the participant will designate a "representative" to serve as the employer. If you are designated as an authorized representative, you have a *fiduciary* duty to the participant. "Fiduciary" means you must always act in the best interest of the participant and not in your own interest. Program funds must always be spent for the participant's benefit, not your own benefit.

### **Hiring and Training Employees**

If the participant is likely to injure himself or others, you have a duty to warn employees of the risk and instruct them how to best handle it. Make sure to hire only employees who can deal with situations that arise. Ask them to confirm that they understand the risks and are willing and able to handle them.

If you are a parent, you must exercise reasonable care to control your minor child as best as you can, even if you are not listed as an authorized representative for the child. It is important to hire employees who are able to deal with any risks they may encounter when caring for your child. You should warn employees ahead of time of risks, and explain how to best handle situations that may to come up.

### Mandatory Reporter Duty

As an authorized representative, you may have a legal duty to report to the authorities if you suspect or notice that the participant is being abused by a family member, an employee, or some other person. Many states have "mandatory reporter" laws that could require you to report abuse of a child, an elderly adult or a person with a disability. You may have a duty to report the abuse even if the abuser is a member of your own family or the participant's family.

2

3

### Worker's Compensation Insurance

It is important to maintain a worker's compensation insurance policy, because such insurance will pay for claims if an employee is injured on the job.

If an employee is injured while at work, the employer is liable even if the injury is not the employer's fault. For example, if your employee drives to the grocery store on your behalf and is injured when a careless driver hits her car, the employee could ask you for compensation even though you could not have prevented the accident. This is because employers have to compensate employees for injuries sustained on the job. A worker's compensation insurance policy will pay for such claims.

### Liability Insurance

Worker's compensation will pay when your employee is injured, but what happens when someone else is injured? As an employer you may be liable when your employee injures someone else, even if the injury is not your fault. For example, if your employee causes a car accident while driving you to an appointment and injures a third party, the third party could sue you because your employee caused the accident while on the job.

Employment-related claims like wrongful termination, discrimination, or defamation are another source of liability that is not covered by worker's compensation insurance.

Some homeowner's, renter's, or liability insurance policies will cover such claims. However the terms of insurance policies vary, so you should read the terms and consult with an insurance agent before you start your participant direction program. You may consider an addition to your homeowner's or renter's policy, or a separate liability insurance policy, to be covered for liability risks related to domestic employees.